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PATENT

*HJS*

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/965,685

Filing Date: 09/27/2001

Applicant: HASEGAWA et al

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

Title: METHOD FOR MANUFACTURING ELECTRO-OPTICAL DEVICE

Attorney Docket: 9319S-000275

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Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Sir:

We acknowledge receipt of the Official Filing Receipt for the above-identified patent application. However, the title has been omitted. Please correct your records to show METHOD FOR MANUFACTURING ELECTRO-OPTICAL DEVICE as the title. This correction can be seen in red on the copy enclosed. Thank you for your assistance.

Respectfully submitted,

By:

G. Gregory Schivley  
Reg. No. 27,382  
Bryant E. Wade  
Reg. No. 40,344

Dated: December 14, 2001

Harness, Dickey & Pierce, P.L.C.  
P.O. Box 828  
Bloomfield Hills, MI 48303  
GGS/BEW/jah

*JOEW*  
Seiko Epson Corporation



UNITED STATES PATENT AND TRADEMARK OFFICE

JAN 08 2002

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/965,685	09/27/2001		0.00	9319S-000275	6	1	1

27572  
HARNESS, DICKEY & PIERCE, PLC  
P.O. BOX 828  
BLOOMFIELD HILLS, MI 48303

CONFIRMATION NO. 2845

FILING RECEIPT



\*OC000000007061805\*

Date Mailed: 11/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Satoshi Hasegawa, Suwa, JAPAN;  
Yoshifumi Kobayashi, Suwa, JAPAN;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

JAPAN 2000-300861 09/29/2000

If Required, Foreign Filing License Granted 11/13/2001

**Projected Publication Date:** To Be Determined - pending completion of Missing Parts

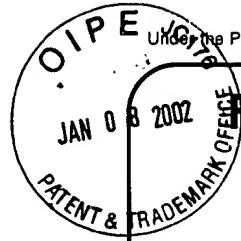
**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

*Unknown* "METHOD FOR MANUFACTURING ELECTRO-OPTICAL DEVICE"

**Preliminary Class**



# FEE TRANSMITTAL for FY 2002

*Patent fees are subject to annual revision.*

<b>TOTAL AMOUNT OF PAYMENT</b>	(\$)	1000
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<i>Complete If Known</i>	
Application Number	09/965,685
Filing Date	09/27/2001
First Named Inventor	HASEGAWA et al
Examiner Name	Not yet assigned
Group / Art Unit	Not yet assigned
Attorney Docket No.	9319S-000275

<b>METHOD OF PAYMENT (check one)</b>				<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																																	
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <span style="border: 1px solid black; padding: 2px;">08-0750</span></p> <p>Deposit Account Name <span style="border: 1px solid black; padding: 2px;">Harness, Dickey &amp; Pierce, P.L.C.</span></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p><b>3. ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">Fee Code</th> <th style="width: 15%;">Large Entity Fee (\$)</th> <th style="width: 15%;">Fee Code</th> <th style="width: 15%;">Small Entity Fee (\$)</th> <th style="width: 40%;">Fee Description</th> <th style="width: 10%;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td><span style="border: 1px solid black; padding: 2px;">130</span></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td><span style="border: 1px solid black; padding: 2px;"></span></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td><span style="border: 1px solid black; padding: 2px;">130</span></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td><span style="border: 1px solid black; 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padding: 2px;">(\$ 260)</span></td> </tr> <tr> <td colspan="4" style="text-align: center;">SUBTOTAL (2) <span style="border: 1px solid black; padding: 2px;">(\$ 0)</span></td> <td colspan="4" style="text-align: center;">*Reduced by Basic Filing Fee Paid</td> </tr> </tbody> </table>				Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	<span style="border: 1px solid black; padding: 2px;">130</span>	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	<span style="border: 1px solid black; padding: 2px;"></span>	139	130	139	130	Non-English specification	<span style="border: 1px solid black; padding: 2px;">130</span>	147	2,520	147	2,520	For filing a request for reexamination	<span style="border: 1px solid black; padding: 2px;"></span>	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	<span style="border: 1px solid black; padding: 2px;"></span>	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	<span style="border: 1px solid black; 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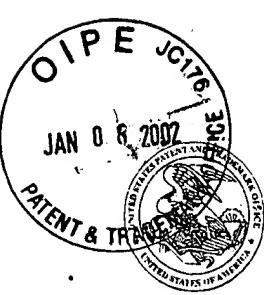
*\*\*or number previously paid, if greater; For Reissues, see above*

SUBMITTED BY		<i>Complete (if applicable)</i>		
Name (Print/Type)	G. Gregory Schlesier / Bryant E. Wade	Registration No. Attorney/Agent)	27,382 / 40,344	Telephone      248-641-1600
Signature				Date      December 14, 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

JF3



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,685	09/27/2001	Satoshi Hasegawa	9319S-000275

**CONFIRMATION NO. 2845**

27572  
HARNESS, DICKEY & PIERCE, PLC  
P.O. BOX 828  
BLOOMFIELD HILLS, MI 48303

**FORMALITIES LETTER**



\*OC000000007061806\*

Date Mailed: 11/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

01/11/2002 ANABII 00000103 09965685

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:139	130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Please type a plus sign (+) inside this box →

HD 1 based on PTO/SB/21 (08-00)

#3

## TRANSMITTAL FORM

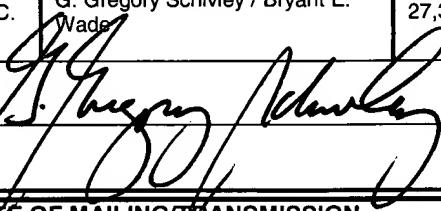
(to be used for all correspondence after initial filing)

		Application Number	09/965,685
		Filing Date	09/27/2001
		First Named Inventor	HASEGAWA et al
		Group Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
Total Number of Pages in This Submission		Attorney Docket Number	9319S-000287

### ENCLOSURES (check all that apply)

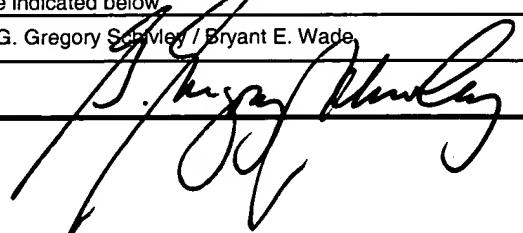
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below)</i>  <b>Certificate of Translation with English Translation of Application; Drawings (Figs. 1-7); Substitute Specification with Marked-up Specification; Preliminary Amendment; Request for Corrected Official Filing Receipt; and Return Receipt Postcard</b>
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name G. Gregory Schivley / Bryant E. Wade	Reg. No. 27,382 / 40,344
Signature			
Date	December 14, 2001		

### CERTIFICATE OF MAILING/TRANSMISSION

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